

Appendix 6**Examples of Required Documents when using a material taken by authorised fishing vessels on the IOTC Record or a material of a non-Party which is a member country of the ASEAN or when using a material pursuant to subparagraph 1 (b) of Article 28 and Article 29**

1. (1) With respect to each good of Chapter 16 of the Harmonized System, in the case where a material taken by the authorised fishing vessels on the IOTC Record is used in the production of the good, the following documents may be used to prove so:

- a copy of contracts of sale and purchase of the material between the exporter or the producer of the good and the exporter or the producer of the material (e.g. contracts indicating that the material for sale and purchase is taken by the authorised fishing vessels on the IOTC Record);
- a copy of shipping documents, including but not limited to the invoice, regarding the sale of the material; or
- any other relevant document.

(2) With respect to each good of Chapter 7,16,18,19 or 20 of the Harmonized System, in the case where a material of a non-Party which is a member country of the ASEAN is used in the production of the good, the following documents may be used to prove that the material is harvested, picked, gathered, obtained or produced in that non-Party:

- a copy of contracts of sale and purchase of the material between the exporter or the producer of the good and the exporter or the producer of the material (e.g. contracts indicating that the material for sale and purchase is harvested, picked, gathered or produced in that non-Party) ;
- a copy of shipping documents, including but not limited to the invoice, regarding the sale of the material;
- a copy of Form D issued for the material in accordance with the CEPT Scheme(the ASEAN Common Effective Preferential Tariff Scheme for the ASEAN Free Trade Area) if information in the copy of Form D is useful for verification); or
- any other relevant document.

(3) With respect to each good of Chapter 61 through 62 of the Harmonized System, in the case where a material of the other Party or a non-Party which is a member country of the ASEAN is used in the production of the good, the following documents may be used to prove that the material has undergone a process or operation specified in the product specific rules set out in Annex 2 in that Party or that non-Party;

- a copy of contracts of sale and purchase of the material between the exporter or the producer of the good and the exporter or the producer of the material (e.g. contracts indicating that the material for sale and purchase undergoes the specified process or operation in the other Party or that non-Party);

- a copy of shipping documents, including but not limited to the invoice, regarding the sale of the material;
- a copy of Form D issued for the material (if information in the copy of Form D is useful for verifying) ; or
- any other relevant document.

2. (1) With respect to each good of Chapter 16 of the Harmonized System, in the case where a material taken by the authorised fishing vessels on the IOTC Record is used in the production of the good, a copy of bills of lading or airway bills for transportation or any other relevant document may be used to prove that the material is transported directly to the exporting Party of the material.

(2) With respect to each good of 16 of the Harmonized System, in the case where a material taken by the authorised fishing vessels on the IOTC Record is used in the production of the good and the material is transported through non-Parties to the exporting Party of the good, the following documents may be used to prove that it does not undergo operations other than unloading, reloading or any other operations to preserve it in good condition in those non-Parties:

- a copy of through bills of lading or airway bills for transportation from that non-Party to the exporting Party of the good;
- a format or handwritten declaration issued by the customs authorities of those other non-Parties for the material being transshipped or temporarily stored ; or
- any other relevant document.

3. (1) With respect to each good of Chapter 7,16,18,19 or 20 of the Harmonized System, in the case where a material of a non-Party which is a member country of the ASEAN is used in the production of the good, a copy of bills of lading or airway bills for transportation or any other relevant document may be used to prove that the material is transported directly from that non-Party to the exporting Party of the material.

(2) With respect to each good of 7,16,18,19 or 20 of the Harmonized System, in the case where a material of a non-Party which is a member country of the ASEAN is used in the production of the good and the material is transported through other non-Parties to the exporting Party of the good, the following documents may be used to prove that it has not undergone operations other than unloading, reloading or any other operations to preserve it in good condition in those other non-Parties:

- a copy of through bills of lading or airway bills for transportation from that non-Party to the exporting Party of the good;
- a format or handwritten declaration issued by the customs authorities of those other non-Parties for the material being transshipped or temporarily stored: or
- any other relevant document.

4. (1) With respect to each good of Chapter 61 through 62 of the Harmonized System, in the case where a material of the other Party or a non-Party which is a member country of the ASEAN is used in the production of the good, a copy of bills of lading or airway bills for transportation or any other relevant document may be used to prove that the material is transported directly from the other Party or that non-Party to the exporting Party of the material.

(2) With respect to each good of Chapter 61 through 62 of the Harmonized System, in the case where a material of the other Party or a non-Party which is a member country of the ASEAN is used in the production of the good and the material is transported through other non-Parties to the exporting Party of the good, the following documents may be used to prove that it has not undergone operations other than unloading, reloading or any other operations to preserve it in good condition in those other non-Parties:

- a copy of through bills of lading or airway bills for transportation from that non-Party to the exporting Party of the material;
- a format or handwritten declaration issued by the customs authorities of those other non-Parties for the material being transshipped or temporarily stored : or
- any other relevant documents.

5. With respect to subparagraph 1 (b) of Article 28 and Article 29, for the purposes of determining whether a good qualifies as an originating good of a Party, the following documents may be used to prove that a material used in the production of the good is an originating material of the Party or an originating good of the other Party:

- a declaration by the producer of the material
- a copy of a certificate of origin for the material issued by the competent governmental authority of the other Party or its designees, if any; or;
- any other relevant document.