

# ITC-WCO-WTO

## Rules of Origin Facilitator



ประตูสู่ข้อตกลงการค้าทั่วโลก

Your Gateway to Trade Agreement

<https://findrulesoforigin.org/>



# ITC-WCO-WTO Rules of Origin Facilitator

<http://findrulesoforigin.org/>



*Stylized facts and trends*

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Market Analyst, International Trade Centre

Informal Session on 25<sup>th</sup> Anniversary of the WTO Agreement on Rules of Origin

3 March 2020



WORLD CUSTOMS ORGANIZATION  
ORGANISATION MONDIALE DES DOUANES

WTO OMC



# RULES OF ORIGIN FACILITATOR

Your gateway to trade agreements



## RULES OF ORIGIN FACILITATOR

Your gateway to trade agreements



Home

Agreements

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### What are you exporting?

EXPORT FROM

Select country ▾

IMPORT TO

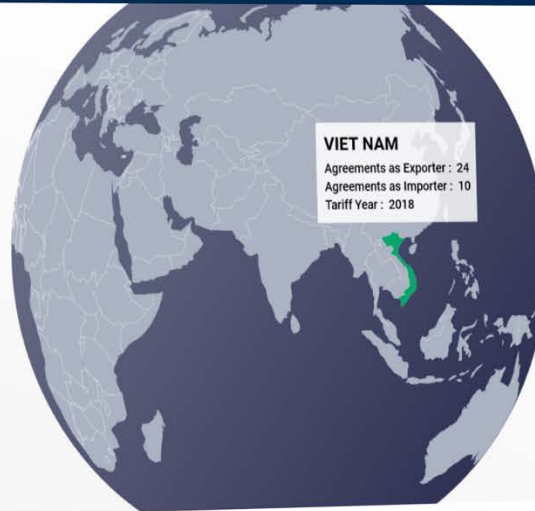
Select country ▾

PRODUCT NAME OR HS CODE ⓘ

Search product by code or name

What's my product code?

SEARCH



[findrulesoforigin.org](http://findrulesoforigin.org)

# Motivation

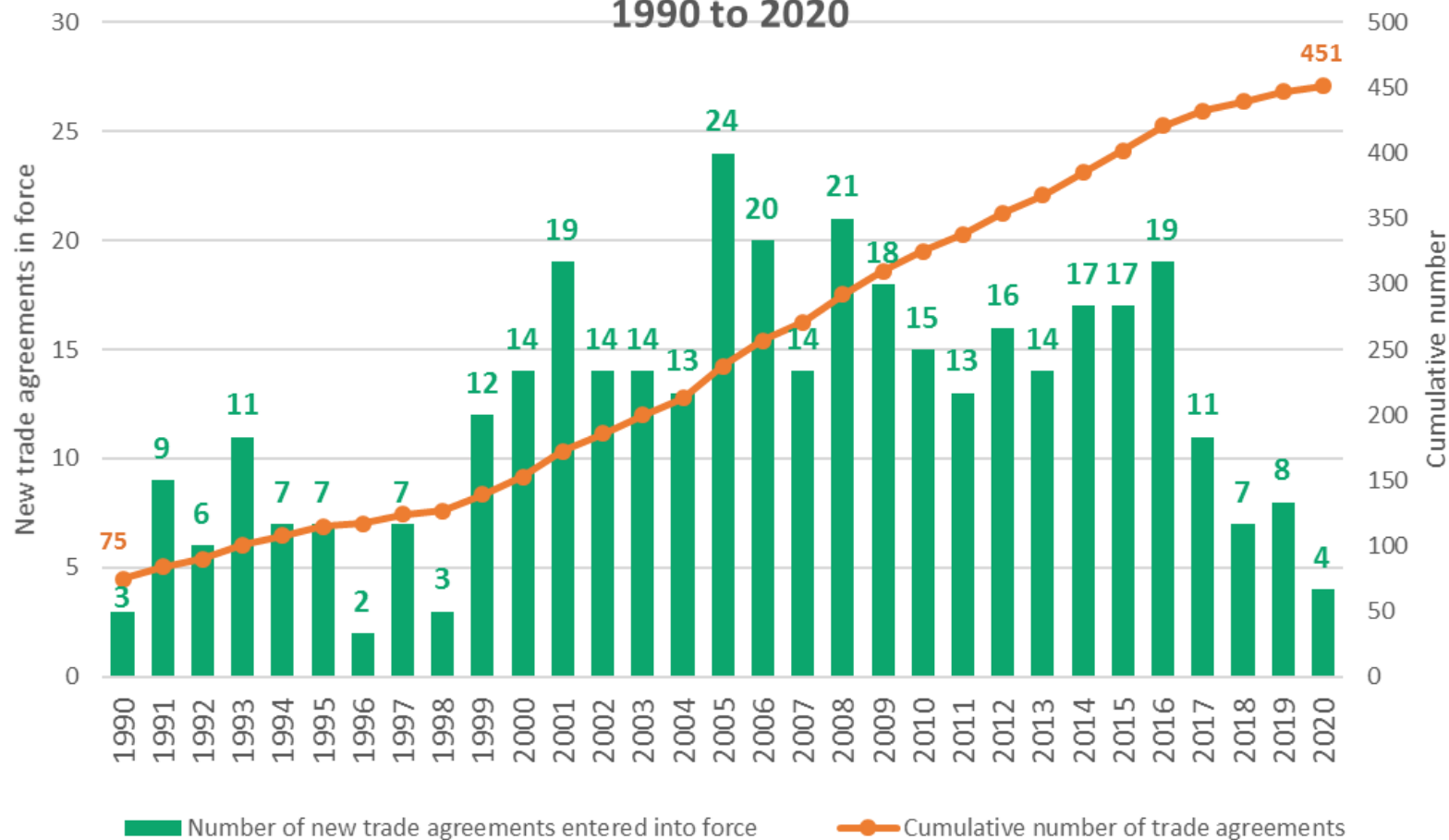
- Multitude of preferential trade agreements (450+) with many duty-free opportunities, but companies find them difficult to use
- Rules of origin (ROO) are legally technical and complex
- ROO differ across products and trade agreements, but no single global searchable online database to navigate this maze
- ITC business surveys in 38 developing nations found ROO to be one of the most problematic non-tariff measures experienced by manufacturing exporters



**Simplify information about rules of origin and facilitate their use**



## Evolution of preferential trade agreements, in force, 1990 to 2020



**Note:** trade agreements include both regional trade agreements and preferential trade arrangements

**Source:** ITC trade agreements database ([findrulesoforigin.org](http://findrulesoforigin.org))

*Last updated: March 2020*

# Rules of Origin Facilitator



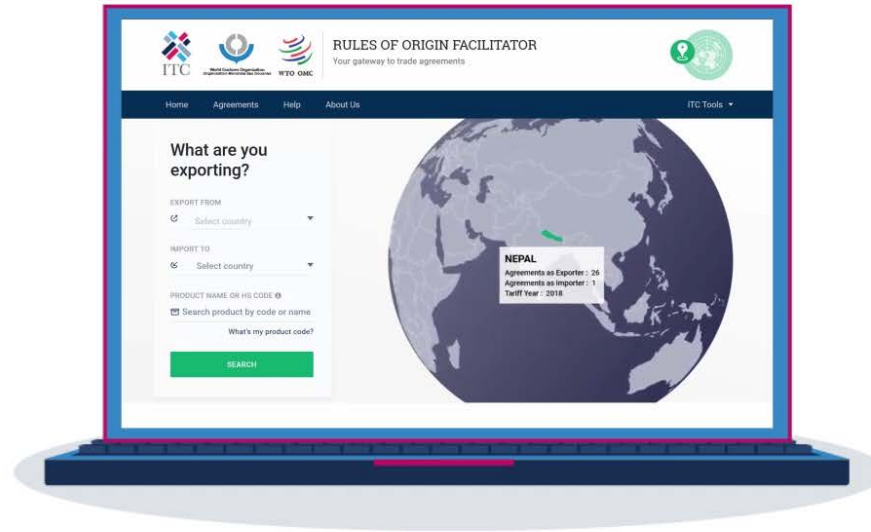
Trade Agreements



Tariff Schedules



Rules Of Origin



Legal Trade Documentation



Certificates Of Origin



Contacts Of  
National Trade Institutions

# A joint initiative of ITC, WCO and WTO



June 28, 2018 – WCO General Council



[PRESS RELEASE](#)

October 17, 2019 – WTO Committee on ROO



[PRESS RELEASE](#)



# State of play



## *Preferential rules of origin coverage*



➡ in 350 trade agreements

➡ of which 328 are in force (or **73%** of the total in force)

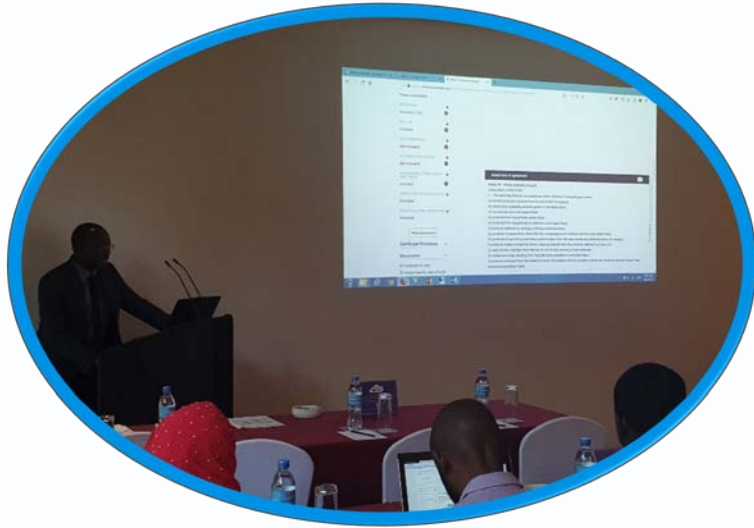
➡ including **almost all preferential schemes for LDCs**, based on member notifications to the WTO

## *User access*

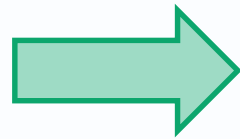
➡ Access to the tool is **free** to all users in the world

➡ No login, no password

# Capacity building activities in developing countries



# A building block of Global Trade Helpdesk



# Preferential origin qualifying process

*In order for a product to be traded under preferential origin (low tariff), the exporter needs to answer 'YES' to each of the five questions.*

**IF the answer to any of the questions is 'NO', the product must be traded under the MFN rate**



## 1. Agreement

- Is there a trade agreement between the country of export and import?

## 2. Product

- Is there a preferential tariff rate for the product under the agreement?

## 3. Rule

- Does the product comply with the rule of origin under the agreement?

## 4. Compliance

- Can the exporter comply with all origin provisions and conditions?

## 5. Proof

- Can the exporter prove the origin of the product?

# Example: Cambodian bicycles to Korea







## RULES OF ORIGIN FACILITATOR

Your gateway to trade agreements



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### What are you exporting?

EXPORT FROM

▾

IMPORT TO

▾

PRODUCT NAME OR HS CODE ⓘ

What's my product code?

**SEARCH**



Feedback



What are free trade agreements?



What are rules of origin?



How to use this site?



What should exporter do?



What should importer do?



What is an HS code?

## Grow your exports by using trade agreements

Rules of Origin Facilitator is the first comprehensive global online resource on tariffs, trade agreements and rules of origin designed with SMEs in mind. The tool enables you in a few clicks to find out import duties in foreign markets applicable to your product, available duty savings, detailed rules of origin, and certification procedures.

Rules of Origin Facilitator is a global public good and is absolutely free to all users.

So don't wait another second. Start your search now!

Rules of Origin Facilitator: Your Gateway to Trade Agreements in 6 Easy Steps

Rules of Origin Facilitator

Watch later Share

Trade Agreements

Legal Trade Documentation

What are you exporting?

ITC

MARKET ACCESS SOLUTIONS

The screenshot shows the 'RULES OF ORIGIN FACILITATOR' website. The header includes logos for ITC, World Customs Organization, and WTO OMC, along with the tagline 'Your gateway to trade agreements'. A navigation bar contains 'Home', 'Agreements', 'Help', 'About Us', and 'ITC Tools'. The main content area features a search form on the left and a map on the right. The search form is titled 'What are you exporting?' and includes fields for 'EXPORT FROM' (Cambodia), 'IMPORT TO' (Korea, Republic of), and 'PRODUCT NAME OR HS CODE' (8712009090 - Motorcycles (inclu)). A green 'SEARCH' button is at the bottom of the form. The map highlights Cambodia in green, with a tooltip showing: 'CAMBODIA', 'Agreements as Exporter : 30', 'Agreements as Importer : 6', and 'Tariff Year : 2014'. A 'Feedback' button is located on the right side of the page.

**ITC** **WORLD CUSTOMS ORGANIZATION** **ORGANISATION MONDIALE DES DOUANES** **WTO OMC**

**RULES OF ORIGIN FACILITATOR**  
Your gateway to trade agreements

Home Agreements Help About Us ITC Tools

## What are you exporting?

EXPORT FROM

cambo

Cambodia

IMPORT TO

Korea, Republic of

PRODUCT NAME OR HS CODE ⓘ

8712009090 - Motorcycles (inclu

What's my product code?

**SEARCH**

**CAMBODIA**  
Agreements as Exporter : 30  
Agreements as Importer : 6  
Tariff Year : 2014

Feedback



# RULES OF ORIGIN FACILITATOR

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Home Agreements Help About Us

ITC Tools ▾

EXPORT FROM

🔄 1 selected

IMPORT TO

🔄 🇰🇷 Korea, Republic of

PRODUCT NAME OR CODE

📄 8712009090 - Motorcycles (including mopeds) and cycles fitted with

Exporters: 🇰🇷 Cambodia

Total 3 Agreements ▾

FILTER

In force

RoO available

Non-preferential regime

● IN FORCE ● SIGNED ● IN NEGOTIATION

🇰🇷 → 🇰🇷

### CECA, ASEAN-Korea

IMPORT DUTY

8% MFN | 5% Preferential

DOES MY PRODUCT QUALIFY?

🇰🇷 → 🇰🇷

### Korea for Least Developed Countries

IMPORT DUTY

8% MFN | 0% Preferential

DOES MY PRODUCT QUALIFY?

Feedback

The screenshot displays a comparison of two trade agreements. The left panel is titled "CECA, ASEAN-Korea" and the right panel is titled "Korea for Least Developed Countries". Both panels show import duty rates for MFN (8%) and Preferential (5% for CECA, 0% for Korea for Least Developed Countries). Below the duty rates are buttons for "DOES MY PRODUCT QUALIFY?". The details for each agreement include the date it went into force, the type of arrangement, the scope, and the parties involved. The CECA agreement is a plurilateral free trade agreement involving Brunei Darussalam, Myanmar, Cambodia, Indonesia, Korea, Republic of, Lao, People's Democratic Republic, Malaysia, Philippines, Singapore, Viet Nam, and Thailand. The Korea for Least Developed Countries agreement is a non-reciprocal arrangement involving Korea, Republic of.

Agreement	MFN	Preferential	IN FORCE	TYPE	SCOPE	PARTIES
CECA, ASEAN-Korea	8%	5%	01.11.2008	Free trade agreement	Plurilateral, Country-Group	Brunei Darussalam; Myanmar; Cambodia; Indonesia; Korea, Republic of; Lao, People's Democratic Republic; Malaysia; Philippines; Singapore; Viet Nam; Thailand
Korea for Least Developed Countries	8%	0%	01.01.2000	Non-reciprocal arrangement	Unilateral, Country-Group	Korea, Republic of

Feedback



<p><b>Agreement</b> <span>▼</span></p> <p><b>Rule of Origin (RoO)</b> <span>ⓘ</span> <span>▼</span></p> <p>ORIGINAL TEXT Change from any other tariff heading; or regional value content not less than 40% of the FOB value</p> <p>CRITERION(ITC) <u>CTH or RVC 40%</u></p> <p><b>Origin Provisions</b> <span>▼</span></p>	<p><b>Agreement</b> <span>▼</span></p> <p><b>Rule of Origin (RoO)</b> <span>ⓘ</span> <span>▼</span></p> <p>ORIGINAL TEXT 2. A product which is finally manufactured or processed in the territory of the exporting country by using the product, as an input, which is produced in countries other than the exporting country, or the origin of which is not determined, shall be eligible for preferential tariffs only if the value of the inputs does not exceed 60% of the F.O.B. price of the final product. In this case, if the final product includes the product originating from the Republic of Korea as an input, the value of the product shall be excluded from the calculation of the total value of the inputs.</p> <p>CRITERION(ITC) <u>RVC 40%</u></p> <p><b>Origin Provisions</b> <span>▼</span></p>	<p><b>Criterion glossary</b> <span>✕</span></p> <p><b>RVC (Regional value content)</b> A good obtains originating status if a defined regional value content percentage has been reached.</p> <p>Please also consult general notes in FIND OUT MORE.</p> <p style="text-align: right;">Feedback <span>▼</span></p>
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Agreement	Agreement
Rule of Origin (RoO)	Rule of Origin (RoO)
Origin Provisions	Origin Provisions
CUMULATION	CUMULATION
Diagonal	Bilateral
DE MINIMIS	<b>De Minimis</b>
Included (10%)	A provision that allows a small amount of non-originating materials to be used in the production of the good without affecting its originating status. The provision acts as the relaxation of the rules of origin.
ROLL-UP	
Not Included	<a href="#">READ MORE</a>
DUTY DRAWBACK	
Not Included	
OUTWARD PROCESSING	OUTWARD PROCESSING
Included	Not Included
ACCESSORIES, SPARE PARTS AND TOOLS	ACCESSORIES, SPARE PARTS AND TOOLS
Included	Not Included
WHOLLY OBTAINED PRODUCTS	WHOLLY OBTAINED PRODUCTS
Provided	Provided
NON-QUALIFYING OPERATIONS	NON-QUALIFYING OPERATIONS
Provided	Not Provided
<a href="#">More provisions</a>	<a href="#">More provisions</a>

NON-QUALIFYING OPERATIONS ⓘ	NON-QUALIFYING OPERATIONS ⓘ	NON-QUALIFYING OPERATIONS ⓘ
Provided	Not Provided ❌	
Less provisions	Less provisions	
VALUE-ADDED CALCULATION ⓘ	VALUE-ADDED CALCULATION ⓘ	
Build Up / Build Down	Import Content	
INDIRECT MATERIALS ⓘ	INDIRECT MATERIALS ⓘ	
Included ✔️	Not Included ❌	
DIRECT TRANSPORT ⓘ	DIRECT TRANSPORT ⓘ	
Included ✔️	Included	
PRINCIPLE OF TERRITORIALITY ⓘ	PRINCIPLE OF TER	Actual text of agreement ❌
Not Included ❌	Not Included	Article 76 of Enforcement Rule of the Customs Act (direct shipment principle) [2, paragraph 3, Article 236 of Enforcement Decree of the Customs Act (Presidential Decree No. 27793)] (3) A certificate of origin to be submitted to the head of the relevant customs office under paragraph (1) shall be as follows: 2. With respect to the goods which are not imported directly from the country or origin, but via a third country, if the relevant customs office, the institution authorized to issue certificates, or the chamber of commerce and industry of the third country confirms the country of origin of the relevant goods or issues a certificate to that effect, the country of origin and a certificate to that effect shall be confirmed based on the certificate of origin issued by the country of origin for the relevant goods; <a href="http://www.law.go.kr/engLsSc.do?menuId=0&amp;subMenu=5&amp;query=%EA%B4%80%EC%84%B8%EB%B2%95#iBgcolor0">http://www.law.go.kr/engLsSc.do?menuId=0&amp;subMenu=5&amp;query=%EA%B4%80%EC%84%B8%EB%B2%95#iBgcolor0</a> (Please see the Annex of this document) [Source: WTO notification G/RO/LDC/N/KOR/1]
PACKAGING ⓘ	PACKAGING	
Included ✔️	Not Included	
FUNGIBLE MATERIALS ⓘ	FUNGIBLE MATERI	
Materials Only	Not Included	
SETS ⓘ	SETS	
Not Included ❌	Not Included	
EXHIBITIONS ⓘ	EXHIBITIONS	
Included ✔️	Not Included	
Certificate Provisions ▾	Certificate Provisions ▾	
Documents ▾	Documents ▾	

Origin Provisions	Certificate Provisions	Origin Provisions	Certificate Provisions
CERTIFICATION	Authorized Body	CERTIFICATION	Authorized Body
EXEMPTION OF CERTIFICATION	Included (Less Than US\$200)	EXEMPTION OF CERTIFICATION	Not Included
APPROVED EXPORTER	Not Included	APPROVED EXPORTER	Not Included
COMPETENT AUTHORITY	Not Provided	COMPETENT AUTHORITY	Provided
PERIOD OF VALIDITY	6 Months	PERIOD OF VALIDITY	Not Provided
RETENTION PERIOD	3 Years	RETENTION PERIOD	5 Years
<a href="#">More provisions</a>		<a href="#">More provisions</a>	
Documents	Documents	Documents	Documents
Certificate of origin	Certificate of origin	Certificate of origin	Certificate of origin
Product-specific rules of origin	Chapter on rules of origin	Chapter on rules of origin	Chapter on rules of origin
Chapter on rules of origin	Full text of agreement	Full text of agreement	Full text of agreement
Chapter on origin procedures	WTO notification	WTO notification	WTO notification
Full text of agreement			

[Feedback](#)

**ATTACHMENT**

**Original (Duplicate/Triplicate/Quadruplicate)**

<p>1. Goods consigned from (Exporter's business name, address, country)</p>		<p>Reference No.                  ASEAN-KOREA FREE TRADE AREA                  PREFERENTIAL TARIFF                  CERTIFICATE OF ORIGIN                  (Combined Declaration and Certificate)</p> <p style="text-align: center;">FORM AK</p> <p>Issued in _____                  (Country)                  See Notes Overleaf</p>			
<p>2. Goods consigned to (Consignee's name, address, country)</p>		<p>4. For Official Use</p> <p><input type="checkbox"/> Preferential Treatment Given Under ASEAN-Korea Free Trade Area Preferential Tariff</p> <hr/> <p><input type="checkbox"/> Preferential Treatment Not Given (Please state reason/s)</p> <hr/> <p>.....                  Signature of Authorised Signatory of the Importing Country</p>			
<p>3. Means of transport and route (as far as known)</p> <p>Departure date</p> <p>Vessel's name/Aircraft etc.</p> <p>Port of Discharge</p>					
<p>5. Item number</p>	<p>6. Marks and numbers on packages</p>			<p>7. Number and type of packages, description of goods (including quantity where appropriate and HS number of the importing country)</p>	<p>8. Origin criterion (see Notes overleaf)</p>



G/RO/LDC/N/KOR/1

- 10 -

**ANNEX III**

## Certificate of Origin

1. Exporter (business name, address, country)		Reference No. Certificate of Origin for Preferential Tariff for Least-Developed Countries (Combined declaration and certificate) Issued in .....(country) See notes overleaf			
2. Importer (business name, address, country)					
3. Means of transport and route		4. For official use			
5. HS code	6. Marks and numbers of packages	7. Number and kind of packages, description of goods	8. Origin criterion (see notes overleaf)	9. Gross weight or other quantity	10. Number and date of invoices
11. Declaration by the exporter The undersigned hereby declares that the above details and statements are correct; that all the goods were produced in ..... (country)  and that they comply with the origin requirements specified in the Presidential Decree on Preferential Tariff for Least-Developed Countries.  ..... ..... Place and date, signature of authorized signatory			12. Certificate It is hereby certified, on the basis of examination carried out, that the declaration by the exporter is correct.  .....  Place and date, signature and stamp of certifying authority		

(210mm × 297mm)

Notes:

## 1. General Conditions

To qualify for preference, products must:

1 of 10 Automatic Zoom



WORLD TRADE  
ORGANIZATION

**G/RO/LDC/N/KOR/1**

27 September 2017

(17-5134) Page: 1/10

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**Committee on Rules of Origin** Original: English

**NOTIFICATION OF PREFERENTIAL RULES OF ORIGIN FOR  
LEAST DEVELOPED COUNTRIES**

REPUBLIC OF KOREA

The following communication, dated 14 September 2017, is being circulated at the request of the delegation of the Republic of Korea.

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Paragraph 4.3 of the 2015 Ministerial Decision on preferential rules of origin for least developed countries (WT/L/917/Add.1) requires preference-granting Members to notify preferential rules of origin as per the established procedures<sup>1</sup>. In addition, as mandated by the Ministerial Decision, the Committee on Rules of Origin agreed, at its meeting of 2 March 2017, to a template for such notifications (G/RO/84).

Following such requirements, the following notification has been received from: the Republic of Korea.

# Example: Vietnamese roasted coffee to Japan





EXPORT FROM: 1 selected × ▾    IMPORT TO: Japan ▾    PRODUCT NAME OR HS CODE: 090121000 - Coffee, whether or not roasted or decaffeinated; coffee

Exporters: Viet Nam

Total 5 Agreements ▾    FILTERS   In Force  ×   Roo Available  ×   Non-preferential regime  ×

● IN FORCE   ● SIGNED   ● IN NEGOTIATION

→ <b>CPTPP</b>	→ <b>EPA, ASEAN-Japan</b>	→ <b>EPA, Japan-Viet Nam</b>	→ <b>Japan for GSP countries</b>
IMPORT DUTY   12%   0% MFN      Preferential	IMPORT DUTY   12%   No preference MFN	IMPORT DUTY   12%   3.1% MFN      Preferential	IMPORT DUTY   12%   10% MFN      Preferential
DOES MY PRODUCT QUALIFY?	DOES MY PRODUCT QUALIFY?	DOES MY PRODUCT QUALIFY?	DOES MY PRODUCT QUALIFY?
<b>Agreements</b> ▾  <b>Rule of Origin (RoO)</b> ▾  ORIGINAL TEXT A change to a good of subheading 0901.21 through 0901.90 from any other subheading, provided that the dry weight of non-originating materials of subheading 0901.11 and 0901.12 does not exceed 60 per cent by dry weight of the materials of subheading 0901.11 and 0901.12 used in the preparation of the good.  CRITERION(ITC) CTSH and RQC 40%	<b>Agreements</b> ▾  <b>Rule of Origin (RoO)</b> ▾  ORIGINAL TEXT RVC 40%  CRITERION(ITC) RVC 40%	<b>Agreements</b> ▾  <b>Rule of Origin (RoO)</b> ▾  ORIGINAL TEXT CC  CRITERION(ITC) CC	<b>Agreements</b> ▾  <b>Rule of Origin (RoO)</b> ▾  ORIGINAL TEXT Manufacturing or processing, as a result of which the heading of the Tariff Schedule of the goods changes from any heading of raw materials originating from other countries used in the production of the goods  CRITERION(ITC) CTH
<b>Origin Provisions</b> ▾	<b>Origin Provisions</b> ▾	<b>Origin Provisions</b> ▾	<b>Origin Provisions</b> ▾
<b>Certificate provisions</b> ▾	<b>Certificate provisions</b> ▾	<b>Certificate provisions</b> ▾	<b>Certificate provisions</b> ▾

# Stylized facts and trends

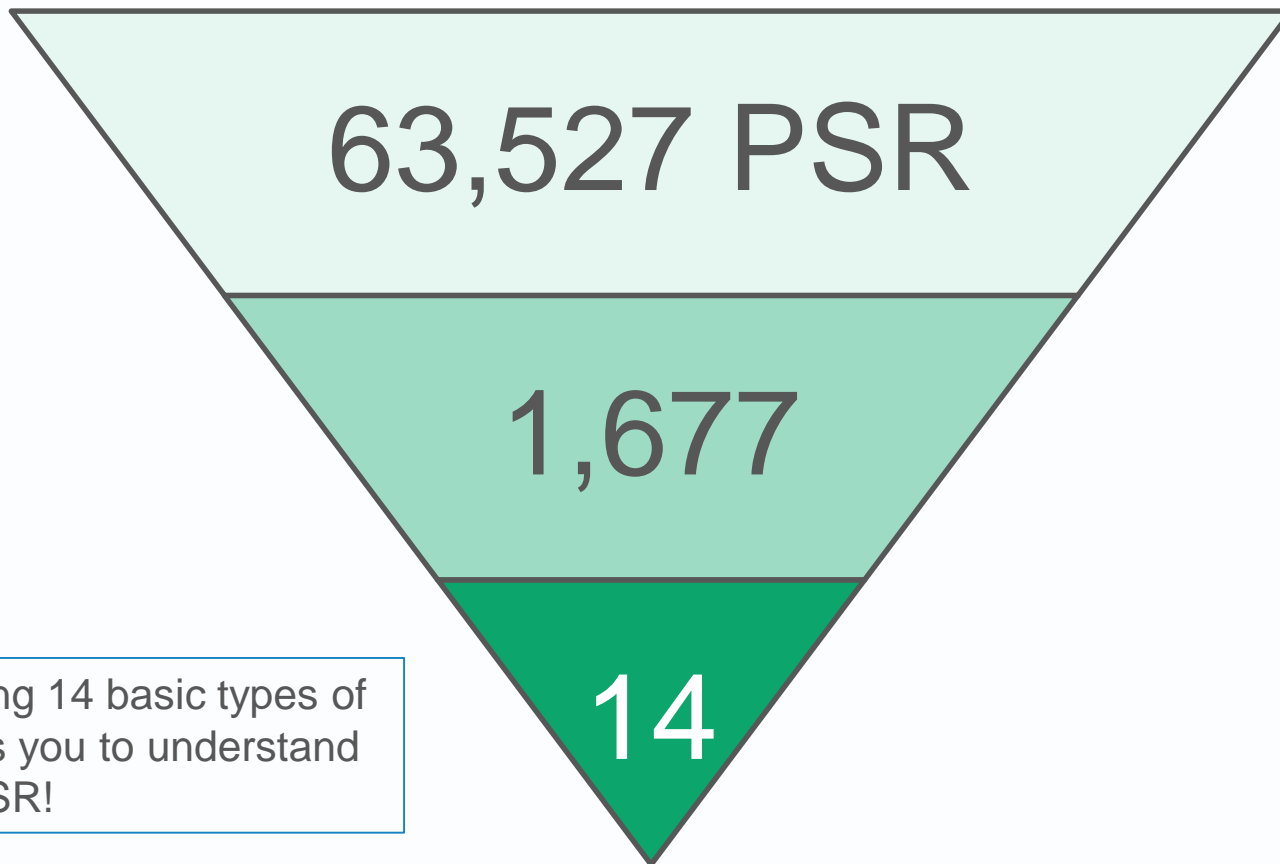


# Stylized facts and trends

- (1) Diversity of rules of origin
- (2) Comparing restrictiveness of rules of origin

# Reducing complexity of rules of origin

- PSR – product-specific rules of origin in **350** PTAs (*as of March 2020*)
- PSR converted into a coded taxonomy by ITC
- Basic types (“building blocks”)



Understanding 14 basic types of PSR enables you to understand all 63,527 PSR!



# 14 basic types of rules of origin



	Presence	Rule	Definition
CTC	5%	WO	Good is entirely (i.e. <b>wholly</b> ) <b>obtained</b> or manufactured in one country without using any non-originating materials.
	2%	NC	The non-originating inputs are <b>not required</b> to be classified in a different HS code than the final good to confer originating status.
	9%	CC	The originating status is conferred to a good that is classified in a different HS <b>chapter</b> than the non-originating inputs.
	<b>45%</b>	CTH	The originating status is conferred to a good that is classified in a different HS <b>heading</b> than the non-originating inputs.
	8%	CTSH	The originating status is conferred to a good that is classified in a different HS <b>subheading</b> than the non-originating inputs.
	0.0%	CTI	The originating status is conferred to a good that is classified in a different HS <b>tariff item</b> than the non-originating inputs.
	6%	ALW	The originating status is <b>allowed</b> to be conferred from non-originating inputs of specific HS codes.
	7%	ECT	The originating status cannot be conferred to a good if the non-originating inputs are from HS codes listed under <b>exception</b> .
	8%	SP	A good originates in the country where a defined technical requirement, i.e. a <b>specified processing</b> or working, has taken place.
	%	<b>62%</b>	RVC
0.3%		RQC	A good obtains originating status if a defined <b>regional quantity content</b> percentage has been reached.
2%		RVP	A good obtains originating status if a defined <b>regional value content</b> percentage on a part or parts has been reached.
0.3%		RQP	A good obtains originating status if a defined <b>regional quantity content</b> percentage on a part or parts has been reached.
3%		Other	Origin criteria other than related to wholly obtained, CTC, value (quantity) content, or specified process.

Note: "Presence" means % of mentioning of this type in origin criteria across all 1,46 million PTA x HS6 combinations (March 2020)

# Formulation of product-specific origin criteria

Product	EU-Canada (2018)	SADC (2001)	EFTA-Mexico (2001)	China-Korea (2015)	Trans-Pacific Strategic Economic Partnership (2006)
<b>Artificial flowers of plastics (HS 6702.10)</b>	A change from any other heading.	Manufacture from materials of any heading except that of the product	Manufacture in which all the materials used are classified within a heading other than that of the product	CTH	A change to heading 67.01 through 67.04 from any other heading, including another heading within that group.
<b>Coded criterion</b>	CTH	CTH	CTH	CTH	CTH

# Example of a complex origin criterion

## USMCA (2018):

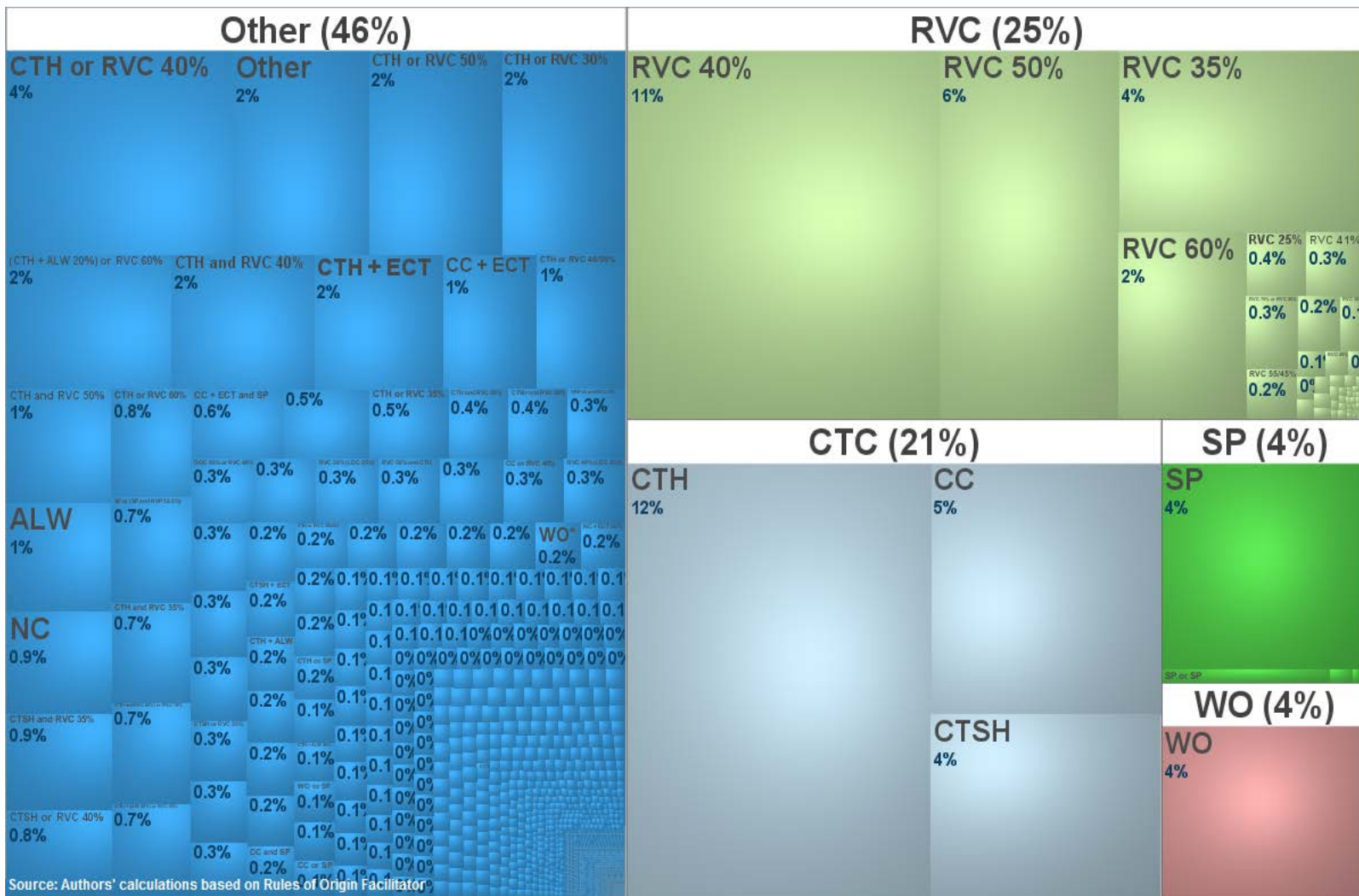
Origin criterion for high-power industrial vacuum cleaners classified in HS 8508.19	Criterion (ITC)
<p>A change to any other good of subheading 8508.19 from any other heading, except from heading 84.79;</p> <p>or</p> <p>A change to any other good of subheading 8508.19 from subheading 8508.70, whether or not there is also a change from any other heading, except from heading 84.79, provided there is a regional value content of not less than:</p> <p>(a) 60 percent where the transaction value method is used; or</p> <p>(b) 50 percent where the net cost method is used.</p>	<p>(CTH + ECT) or (CTH + ALW + ECT and RVC 60/50%)</p>

# Why do we code product-specific requirements?

1. To use a universal language
2. To conduct restrictiveness studies and advise policymakers
3. To facilitate capacity building activities
4. To make them actionable in a Self-Assessment Tool

# Global landscape of rules of origin

Global distribution of origin criteria across 271 PTAs (as of June 2019), unweighted, all HS6



# Coding origin provisions

<b>Origin Provision</b>	<b>Captured values</b>
<b>1</b> Cumulation	Bilateral/Diagonal/Cross-cumulation/Full (and combinations)/Not included/Not provided
<b>2</b> De Minimis	Included (x%)/Not included
<b>3</b> Roll-up	Included/Not included
<b>4</b> Duty drawback	Included (Allowed/Prohibition)/Not included
<b>5</b> Outward processing	Included/Not included
<b>6</b> Accessories, Spare Parts and Tools	Included/Not included
<b>7</b> Wholly obtained products	Provided/Not provided
<b>8</b> Non-qualifying operations	Provided/Not provided
<b>9</b> Value-added calculation	Included [Value-added content (build-up/build-down)/Import content/Net cost/Focused value (and combinations)]/Not included
<b>10</b> Indirect materials	Included/Not included
<b>11</b> Direct transport	Included/Not included
<b>12</b> Principle of Territoriality	Included/Not included
<b>13</b> Packaging	Included/Not included
<b>14</b> Fungible materials	Included (materials only/materials & final products)/Not included
<b>15</b> Sets	Included (RVC x%)/Not included
<b>16</b> Exhibitions	Included/Not included

# Coding certification provisions

<b>Certification Provision</b>	<b>Captured values</b>
<b>17</b> Certification	Provided [Authorized body/Self-certification (or combinations)]/Not provided
<b>18</b> Exemption of certification	Included (less than x USD or other currency)/Not included
<b>19</b> Approved exporter	Included/Not included
<b>20</b> Competent authority	Provided/Not provided
<b>21</b> Period of validity	Provided [x years/months/days (for single/multiple shipments)]/Not provided
<b>22</b> Retention period	Provided (x years/months)/Not provided
<b>23</b> Refund of excess duties	Provided (up to x years/months/days)/Not provided
<b>24</b> Supporting documents	Provided/Not provided
<b>25</b> Third party invoicing	Provided/Prohibition/Not provided
<b>26</b> Verifications	Provided/Not provided
<b>27</b> Penalties	Provided/Not provided
<b>28</b> Advance rulings	Provided/Not provided
<b>29</b> Minor errors	Provided/Not provided
<b>30</b> Appeals	Provided/Not provided



# Coding example

## Record keeping provision

Type: certification, importance rank: #6

<i>Restrictiveness index</i>	<b>Value in PTA</b>	<b>Nb PTAs</b>	<b>PTAs</b>
0	2 years	11	AAP.A25TM 31: CARICOM-Colombia; AAP.CE 35: MERCOSUR-Chile; AAP.CE 36: MERCOSUR-Bolivia; APTA (formerly Bangkok Agreement); CECA, India-Singapore; ...
0.28	30 months	1	FTA, GCC-Singapore
0.47	3 years	113	AANZFTA; Algeria-Tunisia; CARICOM-Cuba; CECA, ASEAN-Korea; CEPA, Malaysia-Pakistan; ECOTA; ...
0.6	3-4 years	1	FTA, EU-Japan
0.6	included (time period not specified)	5	Canada for Least Developed Countries; FTA, China-New Zealand; FTA, Chinese Taipei-New Zealand; ...
0.7	3 years (Belize)/ 5 years (Guatemala)	1	Belize-Guatemala
0.7	4 years	1	FTA, Peru-Singapore
0.84	5 years	88	AAP.CE 62: MERCOSUR-Cuba; African Continental Free Trade Area (AfCFTA); ...
0.84	not provided	43	AAP.A25TM 24: CARICOM-Venezuela; Afghanistan-India; Algeria-Jordan; Algeria-Morocco; ...
0.93	5 or 7 years	1	FTA, CARICOM-Costa Rica
0.93	6 years	1	FTA, Malaysia-New Zealand (MNZFTA)
1	7 years	1	CEP, Hong Kong-New Zealand

# Divergence in origin terminology

## Example of ‘De Minimis’

FTAs	Article title	FTAs applying the title
111	De Minimis	AANZFTA (2010); AAP.CE 42: Chile-Cuba (2008); AAP.CE 49: Colombia-Cuba (2001); AAP.CE 53: Brazil-Mexico (2003); AAP.CE 55: MERCOSUR-Mexico (2003); AAP.CE 67: Mexico-Peru (2012); CECA, ASEAN-Korea (2007); CECA, India-Malaysia (2011); CEP, Hong Kong-New Zealand (2011); CEPA, Australia-Indonesia (2019); CEPA, India-Korea (2010); CEPA, New Zealand-Singapore (2001); CPTPP (2018); EPA, ASEAN-Japan (2008); EPA, Indonesia-Japan (2008); EPA, Japan-Mexico (2005); EPA, Japan-Thailand (2007); EPA, Japan-Viet Nam (2009); FTA, AAP.CE 33: Group of Three (1995); FTA, AAP.CE 41: Chile-Mexico (1999); FTA, AAP.CE 60: Mexico-Uruguay (2004); FTA, ASEAN-China (2005), ...
58	Sufficiently Worked or Processed Products	FTA, Agadir (2007); FTA, Bosnia and Herzegovina-Turkey (2003); FTA, Chile-Turkey (2011); FTA, Colombia-Israel (2013); FTA, EFTA-Albania (2010); FTA, EFTA-Bosnia and Herzegovina (2015); FTA, EFTA-Chile (2004); FTA, EFTA-Egypt (2007); FTA, EFTA-GCC (2014); FTA, EFTA-Georgia (2017); FTA, EFTA-Israel (1993); FTA, EFTA-Jordan (2002); FTA, EFTA-Korea (2006); FTA, EFTA-Lebanon (2007); FTA, EFTA-Macedonia (2002); FTA, EFTA-Mexico (2001); FTA, EFTA-Montenegro (2012); FTA, EFTA-Morocco (1999); ...
7	List of Sufficiently Worked or Processed or Manufactured Products	Egypt-Palestine (1994); FTA, Jordan-Syria (2002); FTA, Jordan-United Arab Emirates (2001); FTA, Morocco-United Arab Emirates (2003); Jordan-Lebanon (1993); Jordan-Sudan (2003); Regional group, League Of Arab States (2009)
7	Sufficient working or processing	EFTA-Costa Rica (CACM) (2014); EFTA-Panama (CACM) (2014); FTA, EFTA-Guatemala (CACM) (2015); FTA, EFTA-Hong Kong (2012); FTA, EFTA-Philippines (2018); Switzerland for GSP Countries (1972); Switzerland for Least Developed Countries (1972)
4	General tolerance	EU for GSP Countries (1971); EU for GSP Countries for combat drug production and trafficking (2005); EU for GSP+ Countries (2005); EU for Least Developed Countries (1971)
3	De Minimis Rule	EHP, Peru-Thailand (2011); FTA, Chile-United States (2004); FTA, Panama-United States (2012)
3	Sufficient working or processing - processing list	Norway for GSP countries (1971); Norway for GSP countries-Botswana/Namibia (1971); Norway for Least Developed Countries (1971)
2	Tolerances	FTA, EU-Japan (2019); FTA, EU-MERCOSUR (2019)
1	Permissible Exceptions	Montenegro for Least Developed Countries (2016)

# Input-output information

## Fridge HS 8418.29



Example for fridges in EFTA-SACU FTA:

*“Manufacture from materials of any heading, except those of Chapter 73 and headings 8414, 8418 and 9032 ...”*

Output	Input	Description	FTAs	Source of information (FTA)
8418.29	73	Steel	1	FTA, EFTA-SACU
8418.29	8414	Pumps, compressors, fans	1	FTA, EFTA-SACU
8418.29	8418.61	Heat pumps	12	FTA, Chile-Panama; FTA, Chinese Taipei-El Salvador and Honduras; FTA, Colombia-United States; FTA, Panama-United States; FTA, Peru-United States; FTA, Triangulo del Norte-Colombia; FTA, USA-DRCAFTA; ...
8418.29	8418.69	Refrigerating or freezing equipment	12	FTA, Chile-Panama; FTA, Chinese Taipei-El Salvador and Honduras; FTA, Colombia-United States; FTA, Panama-United States; FTA, Peru-United States; FTA, Triangulo del Norte-Colombia; FTA, USA-DRCAFTA; ...
8418.29	8418.91	Furniture designed to receive refrigerating or freezing equipment	30+	EFTA-Costa Rica (CACM); EFTA-Panama (CACM); FTA, Canada-Chile; FTA, Canada-Jordan; FTA, Canada-Korea; FTA, Chile-Korea; FTA, EFTA-Canada; Regional group, NAFTA; US-Mexico-Canada Agreement (USMCA); FTA, EFTA-SACU; ... ; ...
8418.29	8418.99	Parts of refrigerating or freezing equipment and heat pumps, n.e.s.	10	FTA, Canada-Korea; FTA, Chile-Korea; FTA, EFTA-Canada; US-Mexico-Canada Agreement (USMCA); Regional group, NAFTA; FTA, EFTA-SACU; FTA, AAP.CE 66: Bolivia-Mexico; FTA, Mexico-Nicaragua; FTA, AAP.CE 33: Group of Three; FTA, Costa Rica-Mexico
8418.29	9032	Regulating or controlling instruments and apparatus	1	FTA, EFTA-SACU
8418.29	94	Furniture; Lamps, lighting fittings	1	FTA, EFTA-SACU

# Examples of specified process (SP) criteria

Product	China-Switzerland (2014)	China-Hong Kong (2004)	EU-Japan (2019)
<b>Roasted coffee, non-decaffeinated (HS 0901.21)</b>	Manufacture from raw coffee beans including roasting	(1) Manufactured from coffee beans. The principal processes are roasting and grinding. If mixing is required, it must also be done in one side;	Blending
<b>Coded criterion</b>	SP	SP	SP
<b>Restrictiveness</b>	0.5	0.5	0.5

# Future work



## *Complete all preferential rules of origin*

- ➔ Complete all 450+ trade agreements in force
- ➔ Extend to non-preferential rules of origin



## *Activities*

- ➔ Capacity building programs and video tutorials
- ➔ Data support for regional negotiations on rules of origin
- ➔ Functionality (multi-language, cumulation map, download module, flagging presence of trade remedy/TRQ)
- ➔ Automated origin determination tool for companies (“self-assessment” module)
- ➔ Develop *ex ante* restrictiveness index

# Future: Download Module



## RULES OF ORIGIN FACILITATOR

Your gateway to trade agreements


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[Agreements](#)
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[About Us](#)
[ITC Tools ▾](#)

## Download rules of origin

### 1. Select your country

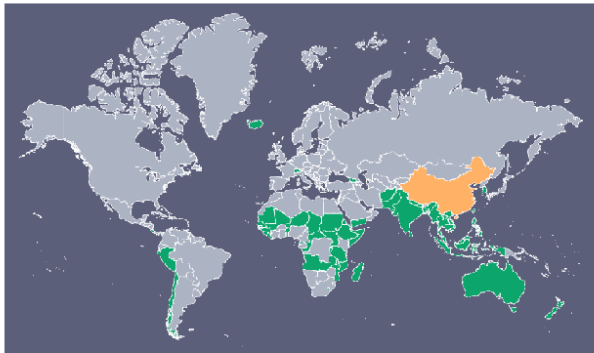
COUNTRY

China ▾

RELATION

As Importer ▾

**TOTAL: 19 agreements** with 62 countries



### 2. Select your data

RULES OF ORIGIN

AGREEMENTS

ADD PREFERENTIAL MARGINS (SOURCE: MARKET ACCESS MAP)

### 3. Download data

I have carefully read and agree to the [terms and conditions](#) of use of the data made available through Rules of Origin Facilitator.

FILES

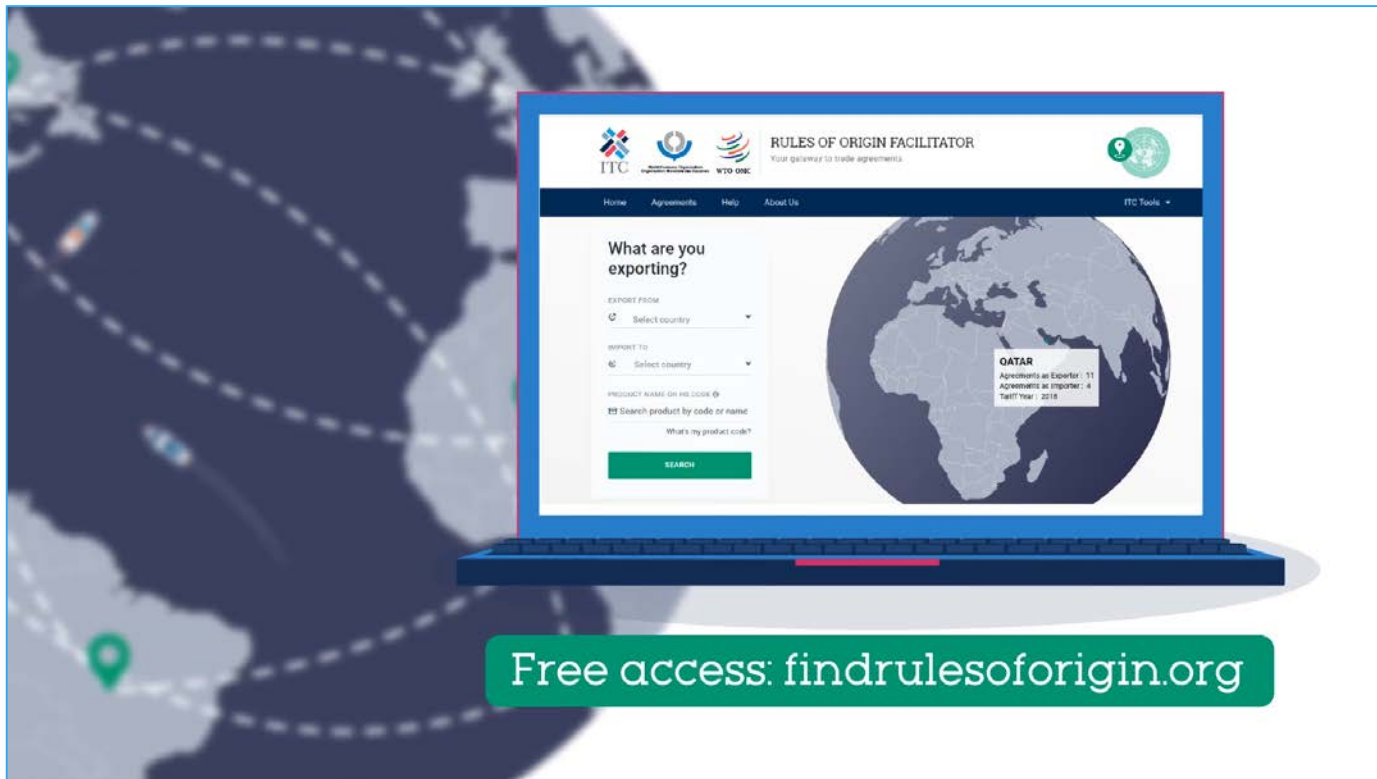


PRODUCT-SPECIFIC ORIGIN CRITERIA (261,560 ROWS, 19 MB)



ORIGIN AND CERTIFICATION PROVISIONS (1,560 ROWS, 4.7 MB)

# Thank you for your attention!



The image shows a laptop displaying the 'RULES OF ORIGIN FACILITATOR' website. The website header includes logos for ITC, WTO, and OMC, with the tagline 'Your gateway to trade agreements'. The main content area is titled 'What are you exporting?' and features a search form with fields for 'EXPORT FROM' and 'IMPORT TO', both with 'Select country' dropdown menus. Below these is a section for 'PRODUCT NAME OR HS CODE' with a search button. To the right of the search form is a globe graphic with a callout box for 'QATAR' showing 'Agreements as Exporter: 11', 'Agreements as Importer: 4', and 'Tariff Year: 2019'. A green banner at the bottom of the laptop screen displays the URL 'Free access: findrulesoforigin.org'.